

**PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:**

1. Information Disclosure Statement transmittal in duplicate
2. Information Disclosure Statement
3. Form PTO-1449 and references
4. Perfection of Priority
5. Chinese Patent 00105678.5
6. Cert of mailing

Applicant(s): Rendian Zhao, et al.

Serial No. 09/837,788

Filed: April 18, 2001

For: A PROCESS FOR CONTINUOUSLY  
REGENERATING CATALYST PARTICLES

Docket: 14512

Dated: July 17, 2001

MB:ml

*Green**703 308 8998**2-4/***PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:**

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.  
14512In Re Application Of: **Rendian Zhao et al.**Serial No.  
09/837,788Filing Date  
April 18, 2001Examiner  
UnassignedGroup Art Unit  
UnassignedTitle: **A PROCESS FOR CONTINUOUSLY REGENERATING CATALYST PARTICLES**Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.  
14512

In Re Application Of: Rendian Zhao et al.

Serial No.  
09/837,788Filing Date  
April 18, 2001Examiner  
UnassignedGroup Art Unit  
Unassigned

Title: A PROCESS FOR CONTINUOUSLY REGENERATING CATALYST PARTICLES

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

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| _____<br>Signature   |  |
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**Certificate of Mailing by First Class Mail**

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| I certify that this document and fee is being deposited on 07/17/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. |  |
| _____<br>Signature of Person Mailing Correspondence  |  |
| _____<br>Typed or Printed Name of Person Mailing Correspondence  |  |

Dated: July 17, 2001

  
Signature

Marvin Bressler  
Registration No. 25,132  
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516-742-4343

cc:

## PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****Applicant(s):** Rendian Zhao et al.**Examiner:** Unassigned**Serial No:** 09/837,788**Art Unit:** Unassigned**Filed:** April 18, 2001**Docket:** 14512**For:** A PROCESS FOR CONTINUOUSLY  
REGENERATING CATALYST  
PARTICLES**Dated:** July 17, 2001Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicants, in order to meet their duty of disclosure, set forth at 37 C.F.R.

§1.56, submit those references which they deem pertinent to the claims of the present  
application.The pertinent references are U.S. Patent Nos. 3,692,496; 3,725,249; 3,761,390;  
3,838,038; 4,578,370; 4,859,643; 4,880,604; 4,977,119; and 5,277,880.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**I hereby certify that this correspondence is being deposited with the United States  
Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for  
Patents, Washington, D.C. 20231, on July 17, 2001.**Dated:** July 17, 2001

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Janet Grossman

Applicants desire that the aforementioned references be made of record in the file of the present application. To that end they enclose completed Form PTO-1449 wherein the aforementioned references are set forth. In addition, copies of these references are enclosed.

Applicants request that the examiner initial the references set forth on completed Form PTO-1449 and make these references of record in the file of the present application.

The instant Information Disclosure Statement is filed within three months of the filing date of the present application. As such, the present Information Disclosure Statement is filed under 37 C.F.R. §1.97(b)(1). An Information Disclosure Statement filed under this rule need be accompanied by neither certification nor fee.

Respectfully submitted,



Marvin Bressler  
Registration No. 25,132  
Attorney for Applicants

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Garden City, New York 11530  
516-742-4343  
MB:ml

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Docket Number (Optional)

14512

Application Number

09/837,788

Applicant(s)

Rendian Zhao et al.

Filing Date

April 18, 2001

Group Art Unit

Unassigned

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE     | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|----------|------------------|-------|----------|-------------------------------|
|                      |     | 3,692,496       | 04/19/71 | Greenwood et al. |       |          |                               |
|                      |     | 3,725,249       | 12/14/71 | Vesely et al.    |       |          |                               |
|                      |     | 3,761,390       | 10/19/71 | Greenwood et al. |       |          |                               |
|                      |     | 3,838,038       | 09/28/72 | Greenwood et al. |       |          |                               |
|                      |     | 4,578,370       | 03/25/86 | Greenwood        |       |          |                               |
|                      |     | 4,859,643       | 08/22/89 | Sechrist et al.  |       |          |                               |
|                      |     | 4,880,604       | 11/14/89 | Koves            |       |          |                               |
|                      |     | 4,977,119       | 12/11/90 | Koves            |       |          |                               |
|                      |     | 5,277,880       | 01/11/94 | Sechrist et al.  |       |          |                               |
|                      |     |                 |          |                  |       |          |                               |
|                      |     |                 |          |                  |       |          |                               |

**FOREIGN PATENT DOCUMENTS**

|  | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----|-----------------|------|---------|-------|----------|-------------|----|
|  |     |                 |      |         |       |          | YES         | NO |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |  |
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.